G01Q

SCANNING-PROBE TECHNIQUES OR APPARATUS; APPLICATIONS OF SCANNING-PROBE TECHNIQUES, e.g. SCANNING-PROBE MICROSCOPY [SPM]

Definition statement

This subclass/group covers:

Scanning probes, i.e. devices having at least a tip of nanometre sized dimensions that scans or moves over an object surface, typically at a distance of a few angstroms or nanometres, monitoring some interaction between the tip and the surface, e.g. monitoring the generation of a tunnelling current.

Techniques or apparatus involving the use of scanning probes.

The following subjects are therefore covered, the list being non-exhaustive:

- scanning probes per se, their manufacture or their related instrumentation, e.g. holders.
- scanning-probe microscopy (SPM) or microscopes, i.e. the application of scanning probes to the investigation or analysis of a surface structure in atomic ranges.
- applications, other than SPM, involving the use of scanning probes.

References relevant to classification in this main group

This subclass/group does not cover:

Electron or ion microscopes (e.g.	H01J 37/00
scanning electron microscopes,	
transmission electron microscopes)	
and details thereof	

Informative references

Attention is drawn to the following places, which may be of interest for search:

Optical microscopes in general	G02B 21/00

Special rules of classification within this subclass

In this subclass, the first place priority rule is applied, i.e. at each hierarchical level, classification is made in the first appropriate place.

Scanning or positioning arrangements, i.e. arrangements for actively controlling the movement or position of the probe

Definition statement

This subclass/group covers:

Actively controlling the movement or the location of the scanning probe tip relative to the object surface.

G01Q 10/02

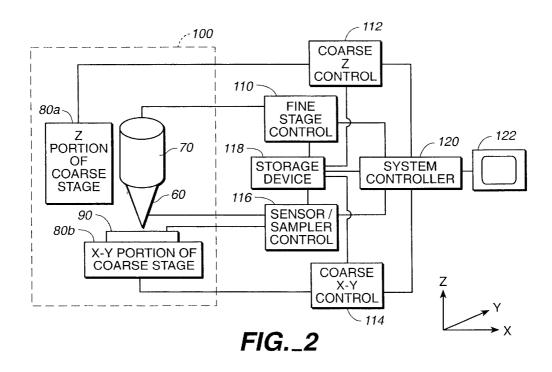
Coarse scanning or positioning

Definition statement

This subclass/group covers:

Generating movement of the scanning probe tip relative to the object surface at a scale larger than the resolution of the scanning probe microscope.

Coarse scanning or positioning means are at least partially mechanical.



Fine scanning or positioning

Definition statement

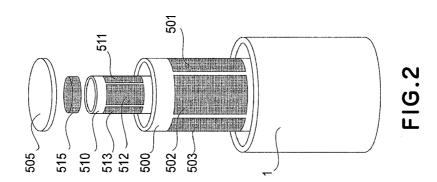
This subclass/group covers:

Generating movement of the scanning probe tip relative to the object surface of a size comparable to the resolution of the scanning probe microscope.

Fine scanning. Piezoelectrical scanning or positioning means are classified in this group.

Illustrative example:

Patent Application Publication Jul. 12, 2007 Sheet 1 of 6 US 2007/0158559 A1



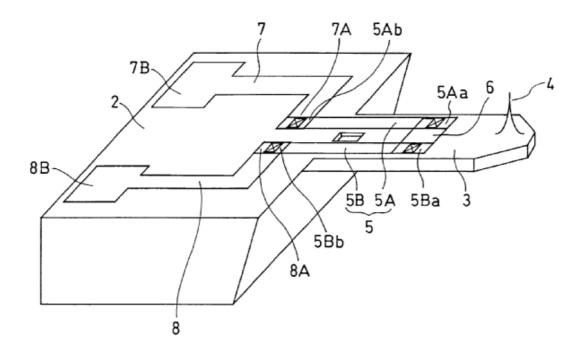
G01Q 10/045

[N: Self-actuating probes, i.e. wherein the actuating means for driving are part of the probe itself, e.g. piezoelectric means on a cantilever probe]

Definition statement

This subclass/group covers:

Illustrative example of subject matter classified in this group: US6422069.



Circuits or algorithms therefor

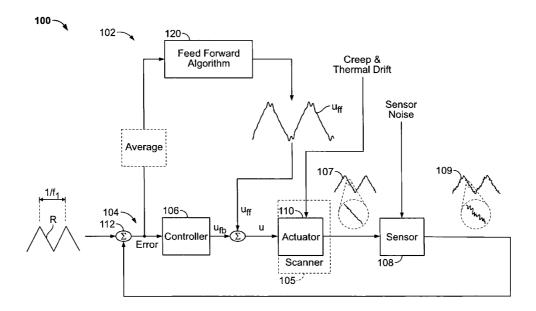
Definition statement

This subclass/group covers:

Electronic means for locating or stabilizing the movement of the scanning probe tip relative to the object surface.

Electronic circuits or algorithms governing scanning.

Illustrative example: US2008/0277582, Figure 6.



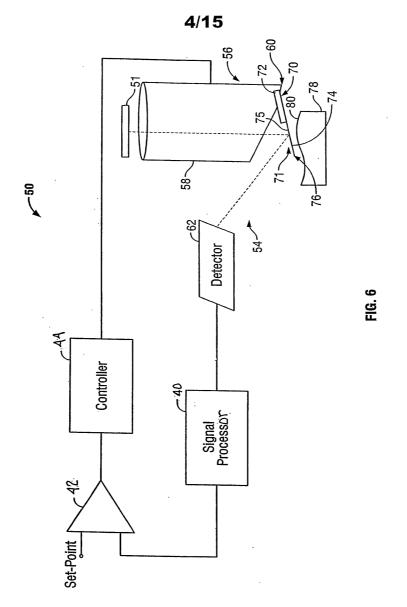
[N: Feedback mechanisms, i.e. wherein the signal for driving the probe is modified by a signal coming from the probe itself]

Definition statement

This subclass/group covers:

Circuits or algorithms for driving the scanning probe during a scanning probe microscopy measurement, wherein the driving signal is adjusted during the measurement by using the detected signal coming from the interaction between the probe and the sample.

WO 2005/114230 PCT/US2005/017920



Special rules of classification within this group

In the case said circuits or algorithms belong to a specific kind of scanning probe microscope, the documents about them should be classified in the class pertinent to said particular kind of scanning probe microscope: e.g. a tapping mode atomic force microscopy, wherein the detected signal is used to keep

the oscillation frequency of an atomic force microscopy probe, i.e., a cantilever, at its resonance frequency, should be classified in <u>G01Q 60/34</u>.

G01Q 20/00

Monitoring the movement or position of the probe

Definition statement

This subclass/group covers:

Methods or apparatus to monitor the movement of the probe.

Informative references

Attention is drawn to the following places, which may be of interest for search:

Monitoring the movement or position	G01Q 10/00
of the probe with active control	
thereof	

G01Q 20/02

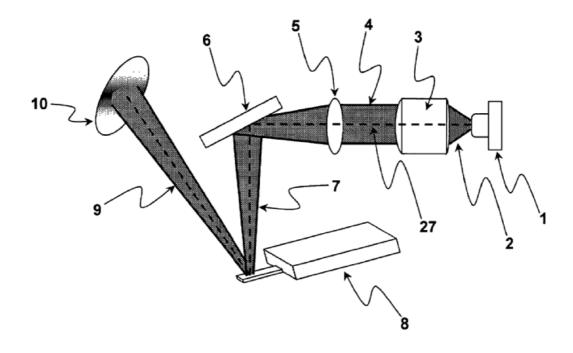
by optical means

Definition statement

This subclass/group covers:

Monitoring the movement of the probe by means of light-sensitive/responsive devices.

Illustrative example: US2003047675.



G01Q 20/04

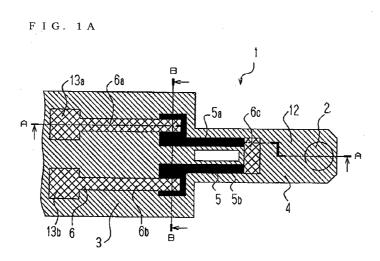
Self-detecting probes, i.e. wherein the probe itself generates a signal representative of its position, e.g. piezo-electric gauge

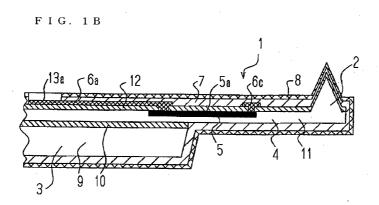
Definition statement

This subclass/group covers:

Probes comprising in their structure means for detecting a signal to control/monitor their movements, e.g. piezoelectric gauges.

This group covers any kind of position-sensitive sensor integrated in the probe, like piezoresistive, capacitive, etc.





G01Q 30/00

Auxiliary means serving to assist or improve the scanning probe techniques or apparatus, e.g. display or data processing devices

Definition statement

This subclass/group covers:

Devices or methods to assist or improve the analysis or investigation.

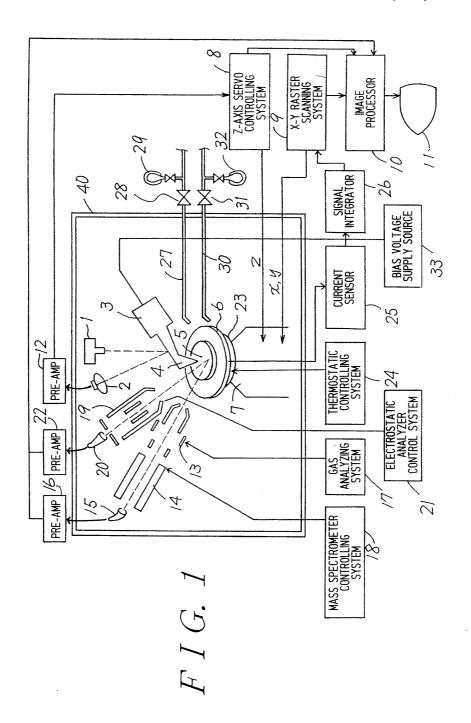
G01Q 30/02

Non-SPM analysing devices, e.g. SEM [Scanning Electron Microscope], spectrometer or optical microscope

Definition statement

This subclass/group covers:

An analysing or investigating device, different from a scanning probe microscope, for assisting or improving the master scanning probe techniques or apparatus.



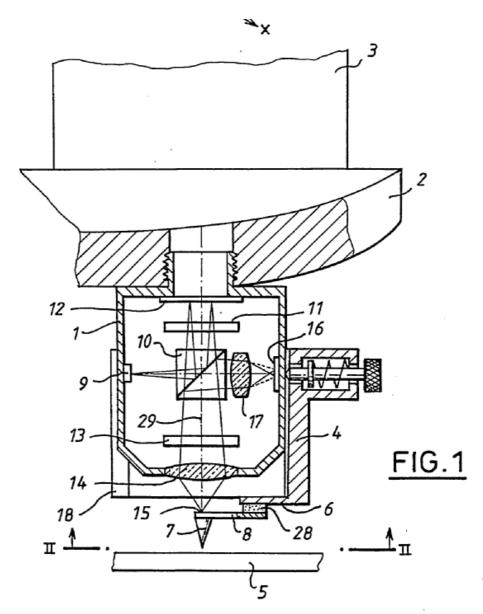
G01Q 30/025

[N: Optical microscopes coupled with SPM]

Definition statement

This subclass/group covers:

Illustrative example of subject matter classified in this group: DE4233399.



G01Q 30/04

Display or data processing devices

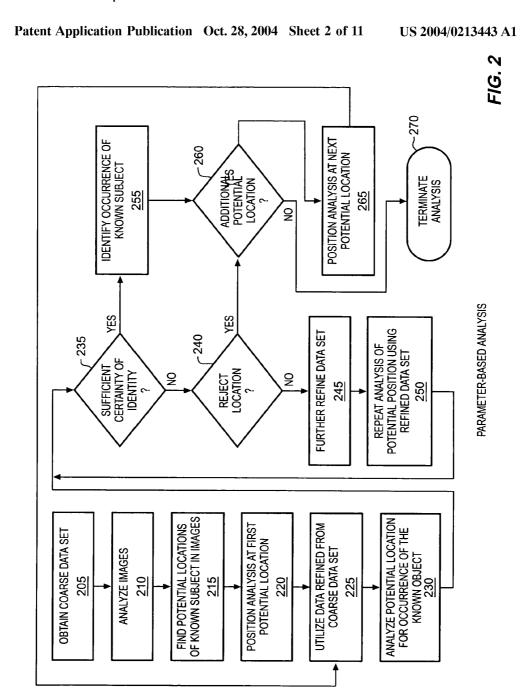
Definition statement

This subclass/group covers:

A programmable device that stores, retrieves, processes or displays data for assisting or improving the scanning probe techniques or apparatus.

This subgroup covers computers, other programmable devices, mathematical

models and mathematical methods and algorithms for data analysis. Illustrative example:



G01Q 30/06

for error compensation

Definition statement

This subclass/group covers:

A programmable device wherein the data information is used to correct or fix

the performance of the SPM.

Illsutrative example:

Patent Application Publication Nov. 1, 2007 Sheet 9 of 10

US 2007/0251306 A1

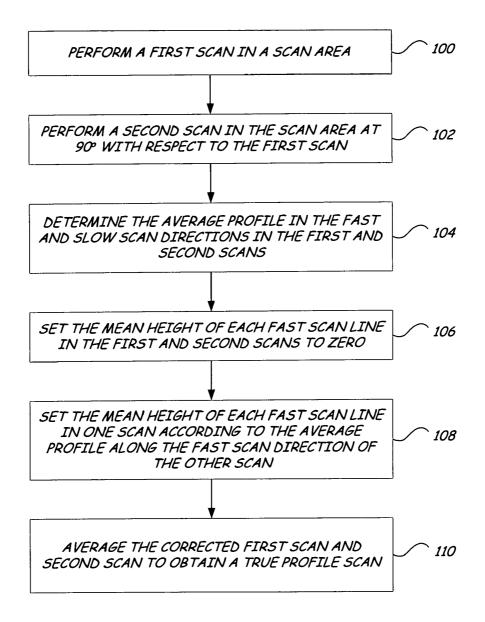


FIG. 6

Informative references

Attention is drawn to the following places, which may be of interest for search:

Feedback methods	G01Q 10/065

Regulations/error compensation means integrated in the probe	G01Q 70/04

G01Q 30/08

Means for establishing or regulating a desired environmental condition within a sample chamber

Definition statement

This subclass/group covers:

Means to adjust or control parameters, e.g. temperature, pressure or humidity, of a working environment condition inside the chamber containing the sample being studied.

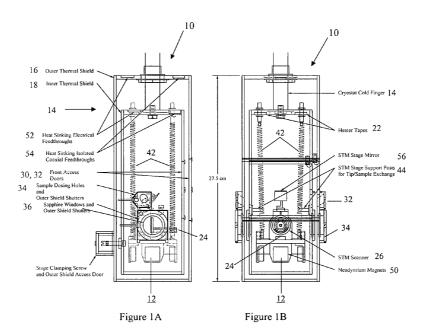
G01Q 30/10

Thermal environment

Definition statement

This subclass/group covers:

Means to adjust or control the temperature of the sample chamber, e.g. a cryostat or heater that allows SPM to be performed at low or high temperatures.



G01Q 30/12

Fluid environment

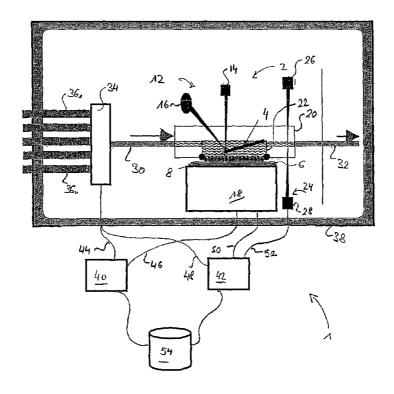
Definition statement

This subclass/group covers:

Means to adjust or control parameters, e.g. pressure or compositions, of the fluid environment inside the sample chamber. The fluid environment can be in

liquid or gas state.

Illustrative example: WO2006/040025, Figure 2



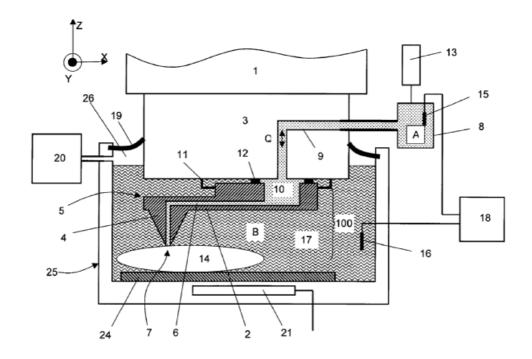
G01Q 30/14

Liquid environment

Definition statement

This subclass/group covers:

Means to adjust or control parameters of the liquid environment, e.g. water or other liquid chemical, inside the sample chamber.



G01Q 30/16

Vacuum environment

Definition statement

This subclass/group covers:

Means to adjust or control a vacuum condition inside the sample chamber, e.g. pumping means.

Apr. 8, 1997

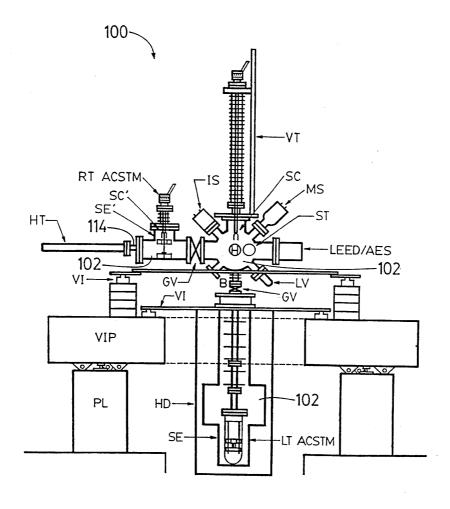


FIG._2.

G01Q 30/18

Means for protecting or isolating the interior of a sample chamber from external environmental conditions or

influences, e.g. vibrations or electromagnetic fields

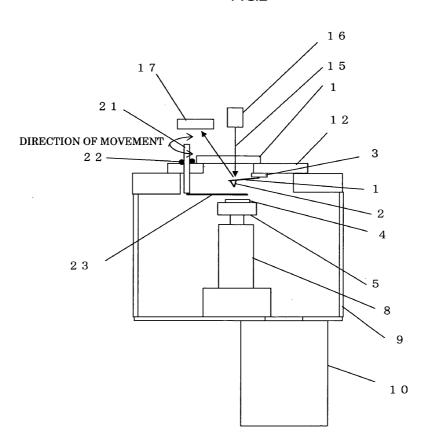
Definition statement

This subclass/group covers:

Means to prevent SPM from being disturbed by a condition outside the scanning probe microscope, e.g. vibration, temperature or pressure.

The SPM is protected as a whole under the adverse condition. For example, a specific housing or arrangement to eliminate external vibrations to assure the stability of the microscope, or shielding that protects it from electromagnetic fields.

FIG.2



Attention is drawn to the following places, which may be of interest for search:

Environmental regulation means for sample chamber	G01Q 30/08
Regulations/error compensation means integrated in the probe	G01Q 70/04

G01Q 30/20

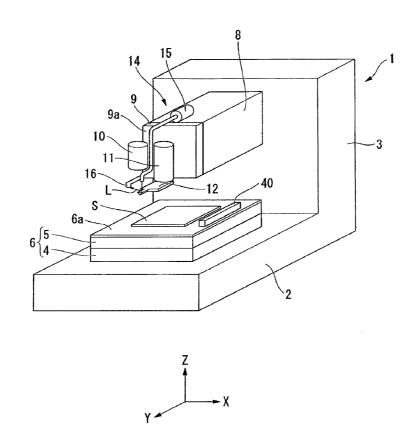
Sample handling device or methods

Definition statement

This subclass/group covers:

Methods or devices to perform mechanical operations on a sample in the scanning probe microscope for analysis or investigation, e.g. tweezers, cutting means.

FIG. 1



G01Q 40/00

Calibration, e.g. of probes

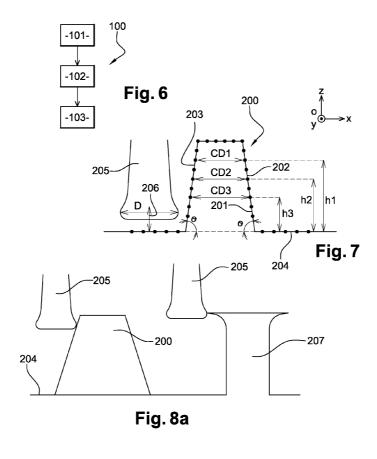
Definition statement

This subclass/group covers:

Methods or apparatus used to adjust or rectify a SPM device, e.g. the probe itself, to a desired standard.

Illustrative example:

EP 2 312 325 A1



301 300 Fig. 8b

13

G01Q 40/02

Calibration standards and methods of fabrications thereof

Definition statement

This subclass/group covers:

Structure with nanometric resolution used for correcting or fixing the performance of the SPM device before its utilization, e.g. grating with a known line separation, and related manufacture.

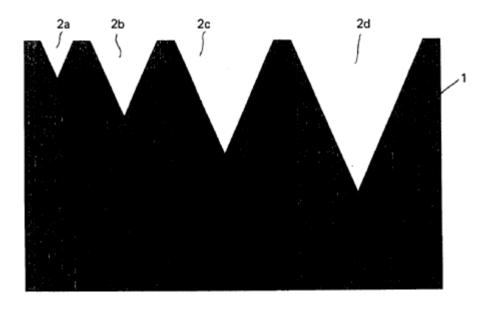
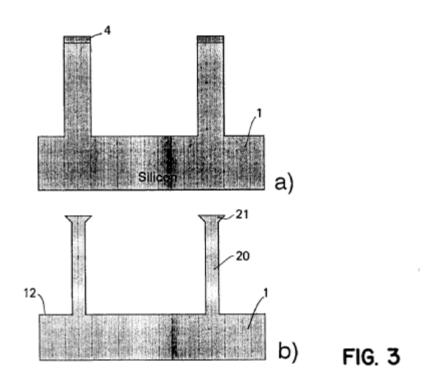


FIG. 2



Particular type of SPM [Scanning Probe Microscopy] or microscopes; Essential components thereof

Definition statement

This subclass/group covers:

Methods or apparatus for which the type of interaction between the scanning probe tip and the sample surface is specified.

G01Q 60/02

Multiple-type SPM, i.e. involving more than one SPM technique

Definition statement

This subclass/group covers:

Methods or apparatus involving two or more different types of interactions between the scanning probe tip and the sample surface, e.g. cantilever-type SPMs other than AFM which are explicitly built for AFM performances.

The device can contain either one probe or more than one probe to perform different types of SPM over the sample.

Informative references

Attention is drawn to the following places, which may be of interest for search:

Devices containing array of tips with	G01Q 70/06
similar performance function	

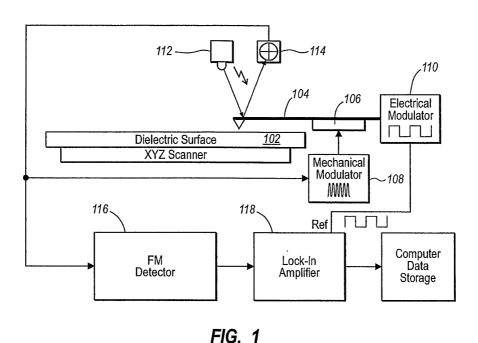
G01Q 60/04

STM [Scanning Tunneling Microscopy] combined with AFM [Atomic Force Microscopy]

Definition statement

This subclass/group covers:

Methods or apparatus wherein the two monitored interactions are tunnelling current (STM) and the attractive or repulsive force between the probe and the sample surface (AFM).



Attention is drawn to the following places, which may be of interest for search:

Specific STMs	<u>G01Q 60/10</u>
Specific AFMs	G01Q 60/24

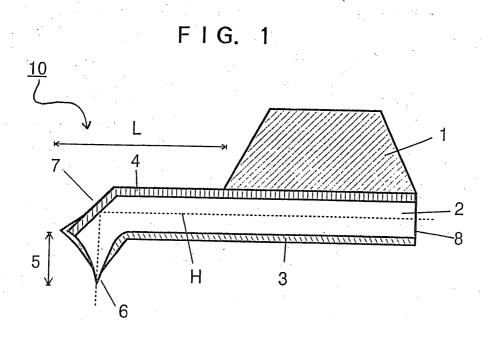
G01Q 60/06

SNOM [Scanning Near-Field Optical Microscopy] combined with AFM [Atomic Force Microscopy]

Definition statement

This subclass/group covers:

Methods or apparatus wherein the two monitored interactions are near-field light emitted from the sample surface (SNOM) and the attractive or repulsive force between the probe and the sample surface (AFM).



Attention is drawn to the following places, which may be of interest for search:

Specific SNOMs	G01Q 60/18
Specific AFMs	G01Q 60/24

G01Q 60/08

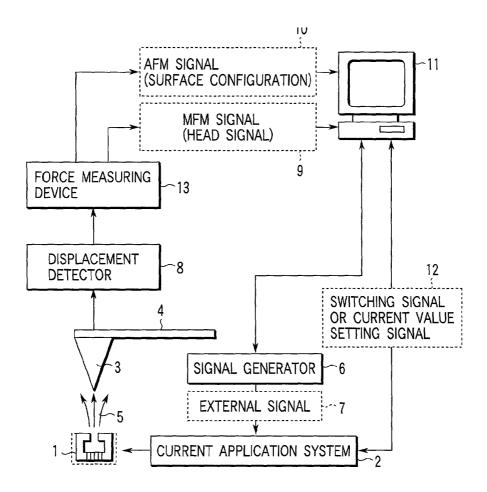
MFM [Magnetic Force Microscopy] combined with AFM [Atomic Force Microscopy]

Definition statement

This subclass/group covers:

Methods or apparatus wherein the two monitored interactions are the magnetic force between the sample and the probe (MFM) and the attractive or repulsive force between the probe and the sample surface (AFM).

Illustrative example: US2001/0030536, Figure 2



Attention is drawn to the following places, which may be of interest for search:

Specific MFMs	G01Q 60/50
Specific AFMs	G01Q 60/24

G01Q 60/10

STM [Scanning Tunnelling Microscopy] or apparatus therefor, e.g. STM probes

Definition statement

This subclass/group covers:

Methods or apparatus for SPM wherein the monitored interaction is the tunnelling current between the tip and the sample, which are in very close proximity but not actually in physical contact.

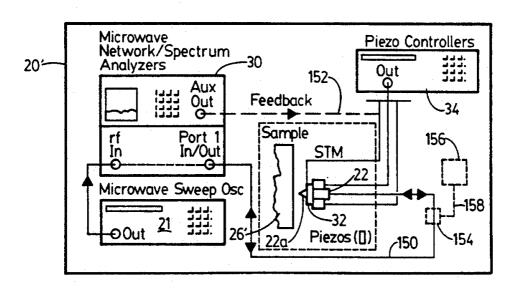
STS [Scanning Tunnelling Spectroscopy]

Definition statement

This subclass/group covers:

STM or apparatus therefor wherein the local electronic state of surface is analysed or investigated.

Illustrative example: US52881814



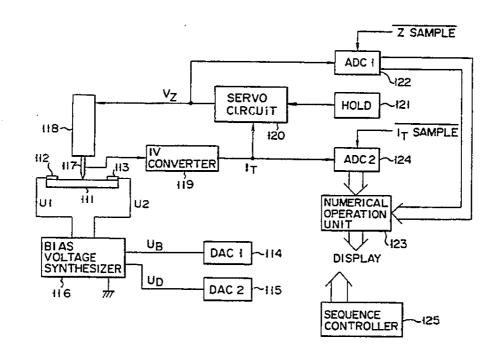
G01Q 60/14

STP [Scanning Tunnelling Potentiometry]

Definition statement

This subclass/group covers:

STM or apparatus therefor wherein the electric potential distribution on the sample is analysed or investigated.



Probes, their manufacture, or their related instrumentation, e.g. holders

Definition statement

This subclass/group covers:

STM probes, their manufacture, or related instrumentation, e.g. holders.

Illustrative example: WO2010/086759

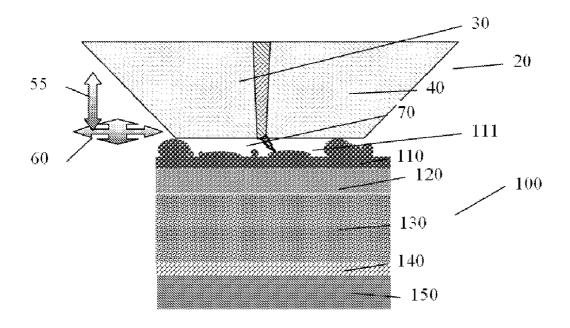


FIG. 2.

SNOM [Scanning Near-Field Optical Microscopy] or apparatus therefor, e.g. SNOM probes

Definition statement

This subclass/group covers:

Methods or apparatus for SPM wherein the monitored interaction is the near-field light emitted or reflected from the object surface.

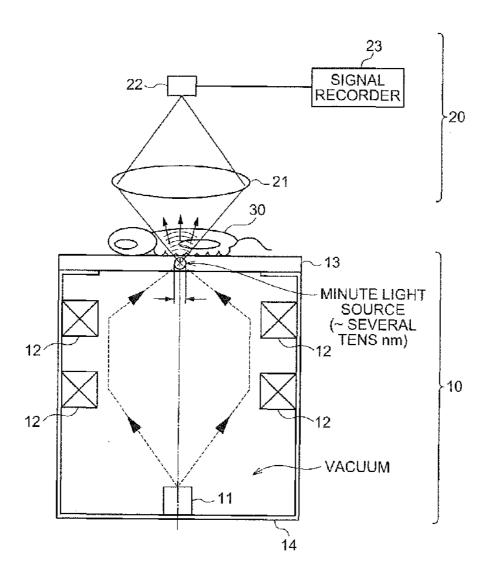
G01Q 60/20

Fluorescence

Definition statement

This subclass/group covers:

SNOM or apparatus therefor wherein the near-field light to be monitored is the emission radiation from a sample surface excited by an electromagnetic wave.

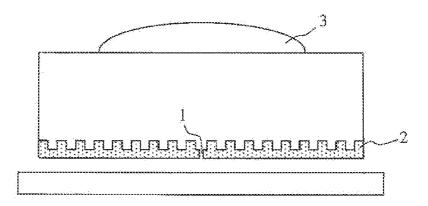


Probes, their manufacture, or their related instrumentation, e.g. holders

Definition statement

This subclass/group covers:

SNOM probes, their manufacture or related instrumentation, e.g. holders.



AFM [Atomic Force Microscopy) or apparatus therefor, e.g. AFM probes

Definition statement

This subclass/group covers:

Methods or apparatus for SPM wherein the monitored interaction is the short range repulsive or long range attractive force between the probe and atoms of the sample surface.

G01Q 60/26

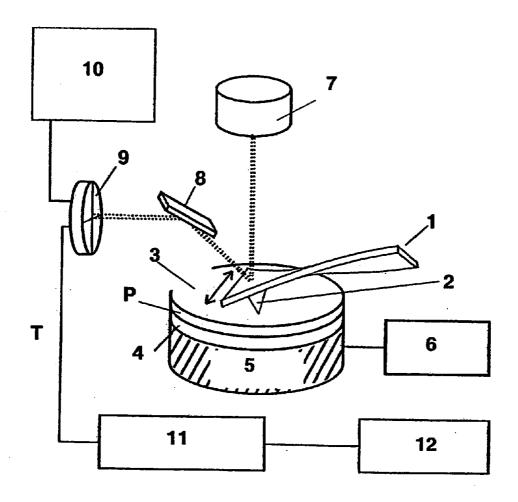
Friction force microscopy

Definition statement

This subclass/group covers:

AFM or apparatus therefor wherein the force monitored is the shear force between the probe and atoms of the sample surface.

Illustrative example: US2006/0150719



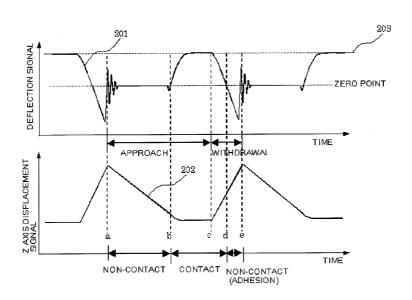
Adhesion force microscopy

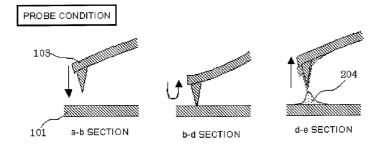
Definition statement

This subclass/group covers:

AFM or apparatus therefor wherein the force monitored is the adhesion force that tends to keep the probe in contact with the sample surface.

FIG.2





Scanning potential microscopy

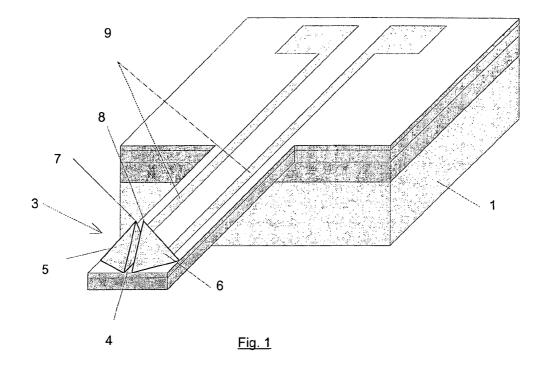
Definition statement

This subclass/group covers:

AFM or apparatus therefor wherein the force monitored is an electric interaction affected by the electric potential distribution on the sample surface, e.g. Kelvin probe microscopy and Scanning Maxwell stress microscopy.

Also probes for this kind of microscopes are classified here.

Illustrative example: EP1748447



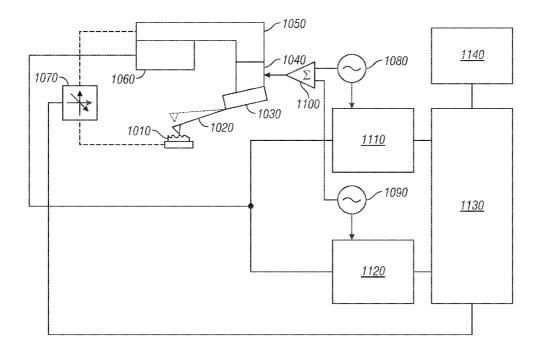
AC mode

Definition statement

This subclass/group covers:

AFM or apparatus therefor wherein the probe is a vibrating cantilever, or is mounted on a vibrating cantilever.

Illustrative example: US2011/0154546



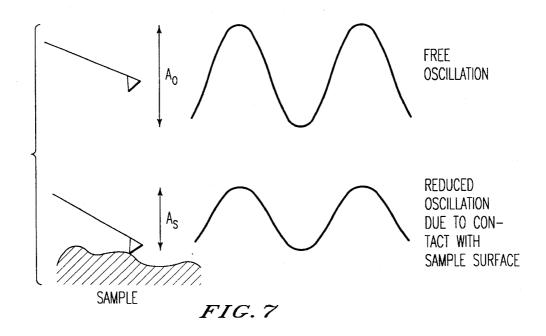
Tapping mode

Definition statement

This subclass/group covers:

AFM or apparatus therefor wherein tip of probe touches periodically the sample surface.

Illustrative example: US5519212



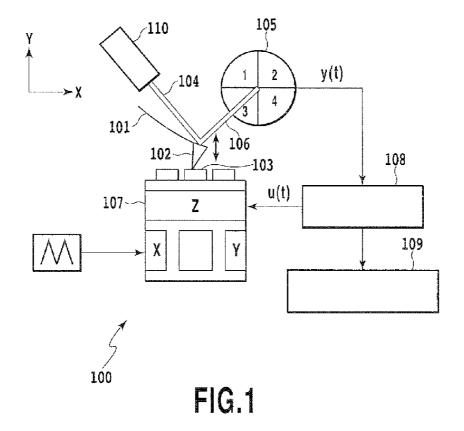
DC mode

Definition statement

This subclass/group covers:

AFM or apparatus therefor wherein the probe is, or is mounted on, a static (i.e. vibrationless) cantilever.

Illustrative example: EP2131180



[N: Contact mode AFM]

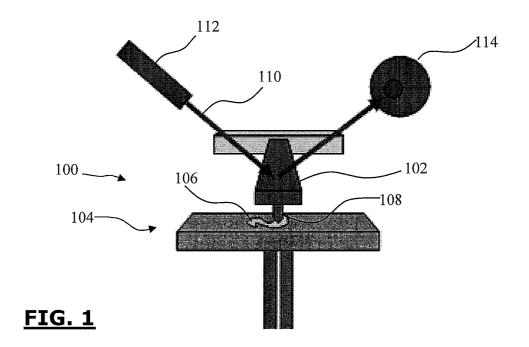
Definition statement

This subclass/group covers:

AFM wherein the probe is always in contact with the surface.

Illustrative example:

EP 2 219 035 A1



G01Q 60/366

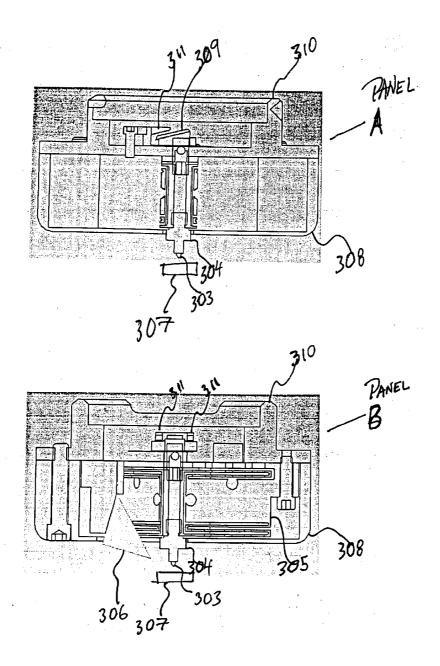
[N: Nanoindenters, i.e. wherein the indenting force is measured]

Definition statement

This subclass/group covers:

Device for measuring indenting force into a surface using SPM probes.

Illustrative example: US2007/0227236



Probes, their manufacture, or their related instrumentation, e.g. holders

Definition statement

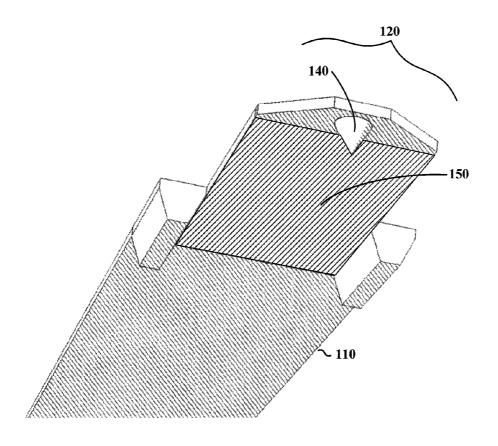
This subclass/group covers:

AFM probes, their manufacture, or their related instrumentation, e.g. holders.

Probes for AFM for special applications, like, e.g., tapping mode AFM, Kelvin Force microscopes, etc. are to be classified also in the corresponding groups.

Special shapes or processes for manufacture are classified in this group.

Illustrative example: US2010/0218288



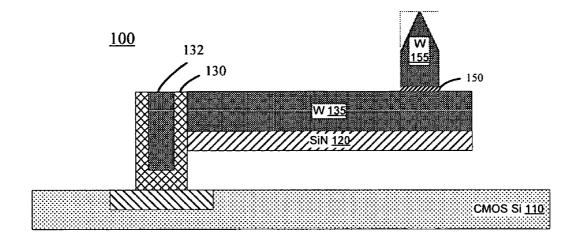
Conductive probes

Definition statement

This subclass/group covers:

AFM probe that is made of a material that conducts electric charges.

Illustrative example: US2009/0001488



Informative references

Attention is drawn to the following places, which may be of interest for search:

Conductive probes that perform the function of STM probes	G01Q 60/04, G01Q 60/16

G01Q 60/42

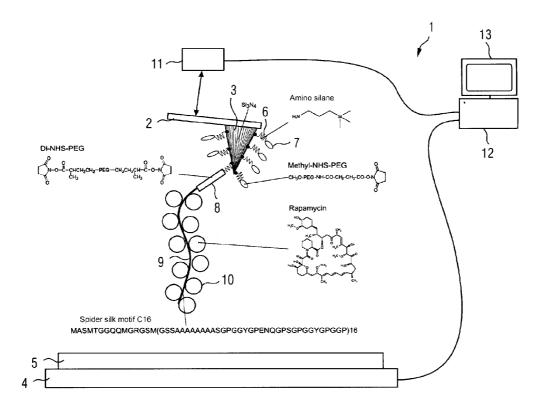
Functionalization

Definition statement

This subclass/group covers:

AFM or apparatus therefor wherein specific particles are added to the tip to give it another characteristic, e.g. specific chemical receptor for biochemical analysis.

Illustrative example: US2010/0011472



G01Q 60/44

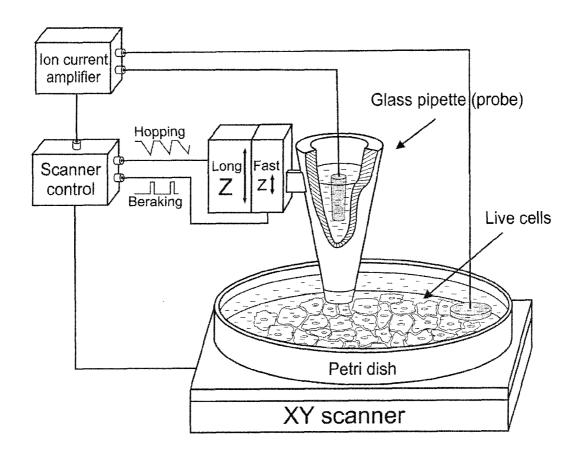
SICM [Scanning Ion-Conductance Microscopy] or apparatus therefor, e.g. **SICM** probes

Definition statement

This subclass/group covers:

Methods or apparatus for SPM wherein the monitored interaction is the ion flow between the probe tip and the sample surface.

Illustrative example: US2011/0131690



G01Q 60/46

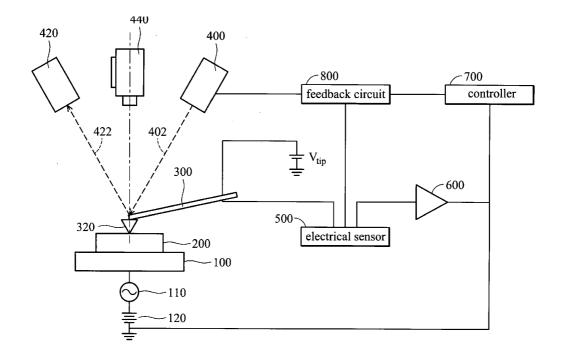
SCM [Scanning Capacitance Microscopy] or apparatus therefor, e.g. **SCM** probes

Definition statement

This subclass/group covers:

Methods or apparatus for SPM wherein the monitored interaction is the electrical capacity between the probe tip and the sample surface.

Illustrative example: US2005/0030054



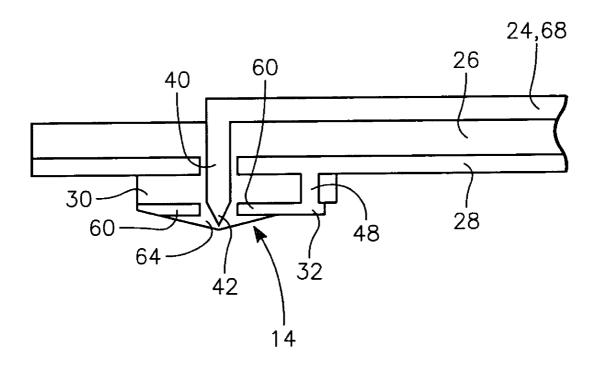
Probes, their manufacture, or their related instrumentation, e.g. holders

Definition statement

This subclass/group covers:

SCM probes, their manufacture, or their related instrumentation, e.g. holders.

Illustrative example: US7190175



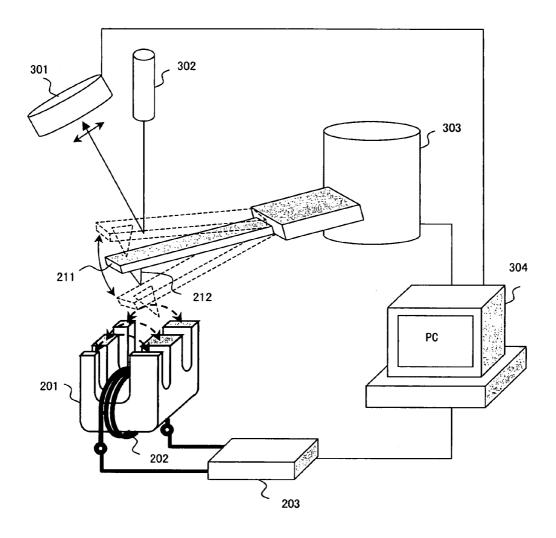
MFM [Magnetic Force Microscopy] or apparatus therefor, e.g. MFM probes

Definition statement

This subclass/group covers:

Methods or apparatus for SPM wherein the monitored interaction is the magnetic force between the probe tip and the sample surface.

Illustrative example: US2006/0139026



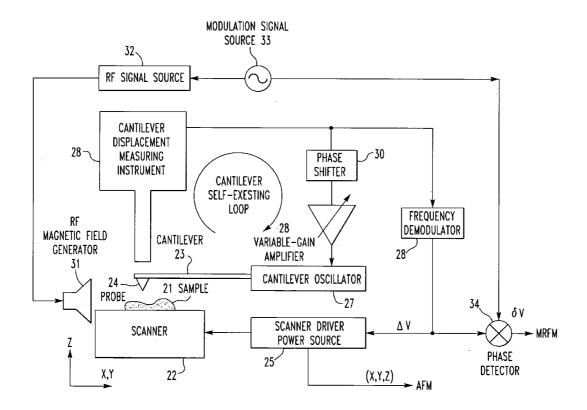
Resonance

Definition statement

This subclass/group covers:

MFM or apparatus therefor wherein a spin magnetic moment is induced by a specific magnetic field frequency.

Illustrative example: US2007/0216412



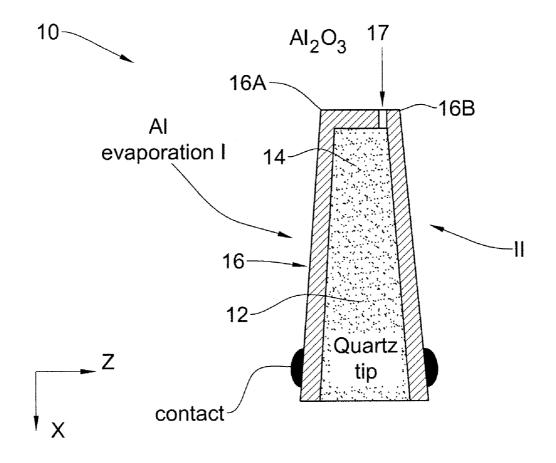
Probes, their manufacture, or their related instrumentation, e.g. holders

Definition statement

This subclass/group covers:

MFM probes, their manufacture, or their related instrumentation, e.g. holders.

Illustrative example: US2010/0207622



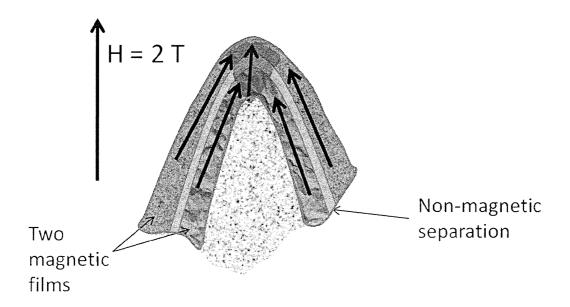
Probes with magnetic coating

Definition statement

This subclass/group covers:

MFM probe tips that are coated with some material with specific magnetic property.

Illustrative example: US2010/0138964



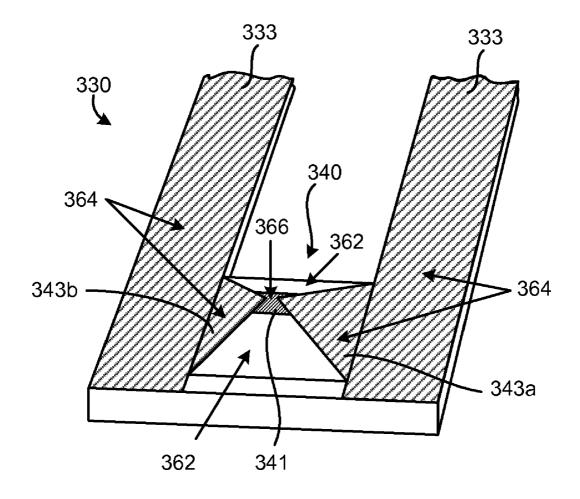
SThM (Scanning Thermal Microscopy) or apparatus therefor, e.g. SThM probes

Definition statement

This subclass/group covers:

Methods or apparatus for SPM wherein the monitored interaction is the heat or temperature of the sample surface.

Illustrative example: US2009/0056428



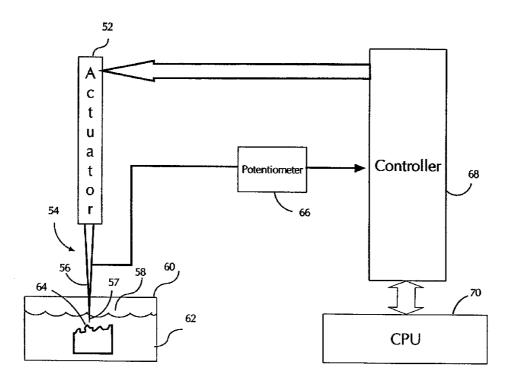
SECM (Scanning Electro-Chemical Microscopy) or apparatus therefor, e.g. **SECM probes**

Definition statement

This subclass/group covers:

Methods or apparatus for SPM wherein the monitored interaction is the Faraday current generated by an electrochemical reaction.

Illustrative example: US7156965



General aspects of SPM probes, their manufacture, or their related instrumentation, insofar as they are not specially adapted to a single SPM technique covered by group G01Q60/00

Definition statement

This subclass/group covers:

General aspects of SPM probes, their manufacture or their related instrumentation, insofar as these probes are not specially adapted to a single particular type of SPM technique covered by group <u>G01Q 60/00</u> and subgroups.

G01Q 70/02

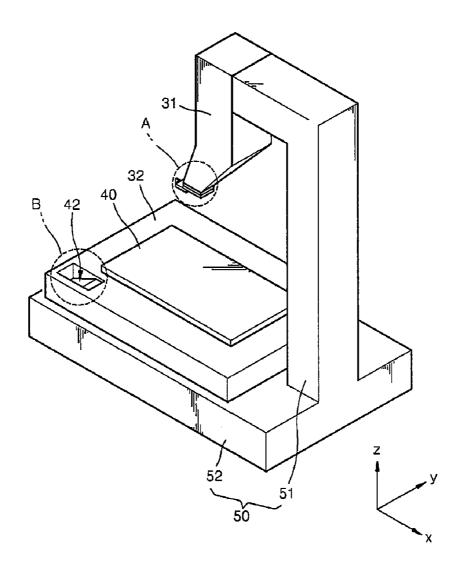
Probe holders

Definition statement

This subclass/group covers:

General aspects of SPM probe holders.

Illustrative example: US2010/0037360



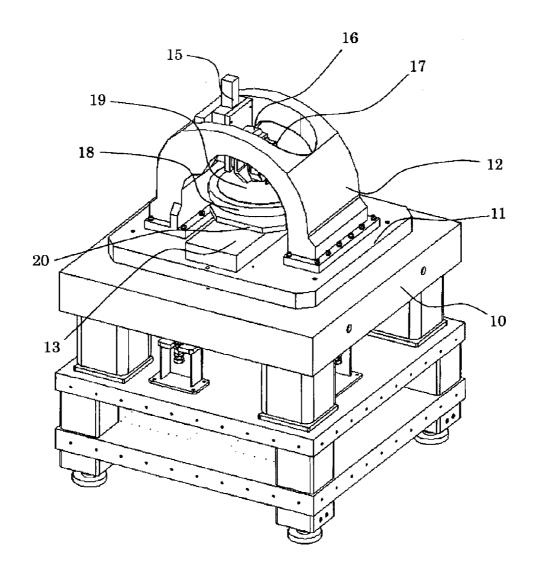
with compensation for temperature or vibration induced errors

Definition statement

This subclass/group covers:

General SPM probe holders with integrated means to adjust the probe to correct for errors caused by temperature variations or vibrations.

Illustrative example: US2009/0255016



Informative references

Attention is drawn to the following places, which may be of interest for search:

Error compensation in general	G01Q 30/06
Protection of the scanning probe microscope in general	G01Q 30/18

G01Q 70/06

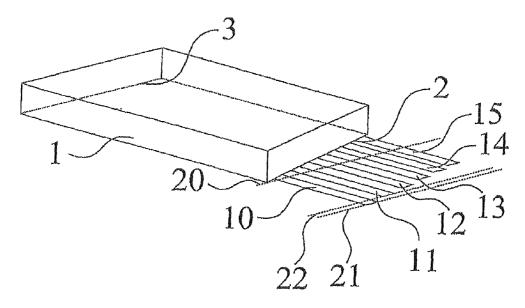
Probe tip arrays

Definition statement

This subclass/group covers:

General SPM probes wherein multiple tips of similar characteristics form a line or a matrix.

Illustrative example: US2010/0218284



G01Q 70/08

Probe characteristics

Definition statement

This subclass/group covers:

Specific characteristics of general SPM probes.

Informative references

Attention is drawn to the following places, which may be of interest for search:

Process of probe fabrication	G01Q 70/16

Special rules of classification within this group

Specific characteristics like shape, material, etc. are covered by the subgroups $\underline{G01Q70/10}$ - $\underline{G01Q70/14}$.

G01Q 70/10

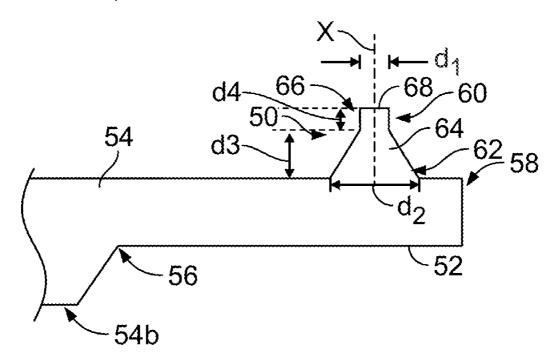
Shape or taper

Definition statement

This subclass/group covers:

Specific physical form, degree of slope or angle of the tip in a general SPM probe. With "probe" both tip and cantilever are meant.

Illustrative example: US2009/005092



G01Q 70/12

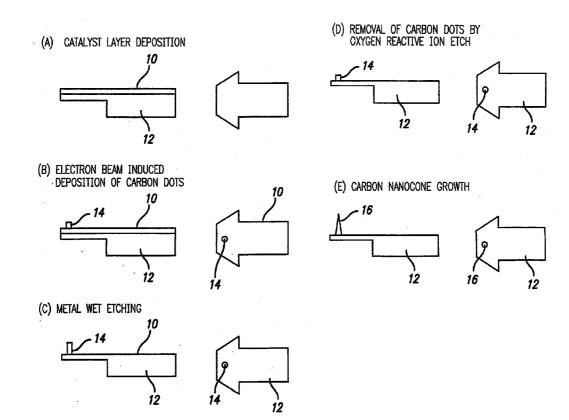
Nano-tube tips

Definition statement

This subclass/group covers:

General SPM probes having a nano-sized tube such as Carbon nano-tube.

Illustrative example: US2010/0229265



Particular materials

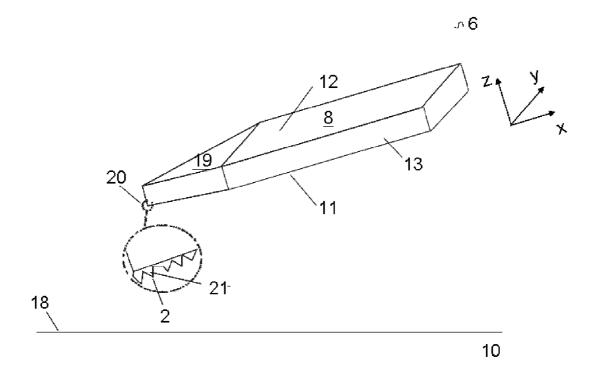
Definition statement

This subclass/group covers:

Specific choice of materials for general SPM probes or components thereof. For example, where a cantilever or a coating on the tip is made of some material that gives a particular property to the scanning probe.

Materials of cantilever and/or tip.

Illustrative example: US2009/0313730



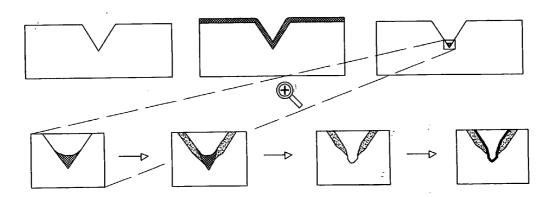
Probe manufacture

Definition statement

This subclass/group covers:

Manufacture aspects of general SPM probes.

Illustrative example: US2011/039100



Fabrication scheme for the silicon oxide pyramid containing a nano scale metal tip.

Special rules of classification within this group

Manufacture of probes for particular kinds of SPM (e.g. AFM, STM, SNOM) are classified in the corresponding <u>G01Q 60/00</u> subgroup.

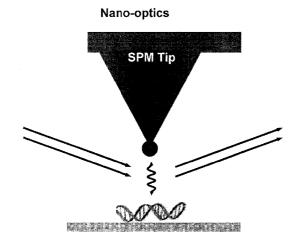
Functionalization

Definition statement

This subclass/group covers:

General SPM probes, wherein specific particles are added to the tip to give it another characteristic, e.g. specific chemical receptor for biochemical analysis.

Illustrative example: US2008/0093550



SPM Tip

Nano-scale force measurement

Informative references

Attention is drawn to the following places, which may be of interest for search:

Functionalized AFM probes	G01Q 60/38

G01Q 80/00

Applications, other than SPM, of scanning-probe techniques (manufacture or treatment of nano-structures B82B3/00; recording or reproducing information using near-field interaction G11B9/12, G11B11/24, G11B13/08)

Definition statement

This subclass/group covers:

Specific applications, different from SPM, of scanning-probe techniques.

References relevant to classification in this main group

This subclass/group does not cover:

Manufacture or treatment of micro-structures	<u>B81C</u>
Manufacture or treatment of nano-structures	B82B 3/00
Recording or reproducing information using near-field interaction	G11B 9/12, G11B 11/24, G11B 13/08

Informative references

Attention is drawn to the following places, which may be of interest for search:

Specific SPM techniques, apparatus therefor or components thereof, e.g. probes	G01Q 60/00
General aspects of SPM probes	G01Q 70/00